



IFU 3731

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First named  
Inventor : Stephen T. Kuehn et al.

Appln. No. : 09/694,293

Filed : October 23, 2000

For : MITRAL AND TRICUSPID VALVE  
REPAIR

Docket No.: S16.12-0101

Group Art Unit: 3731

Examiner: Vi X. Nguyen

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S.  
MAIL, FIRST CLASS, TO THE COMMISSIONER FOR PATENTS,  
P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, THIS

11 DAY OF May 20 06  
*Halley A. Fennema*  
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

**TIME OF FILING**

The information disclosure statement is being filed:

1. ☐ 1. Within three month of the filing date of a national application other than a Continued Prosecution Application (CPA);  
2. Within three months of the date of entry of the National Stage international application;  
3. Before the mailing date of a first Office Action on the merits; or  
4. Before the mailing of a first Office Action after the filing of a Request for Continued Examination (RCE).
2. ☐ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).
- B. ☐ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
- B. the petition fee set forth in 37 C.F.R. § 1.17(p).

#### STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

  X   that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

       that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

#### METHOD OF PAYMENT

  X   No fee is required.

       Attached is a check in the amount of \$\_\_\_\_\_.

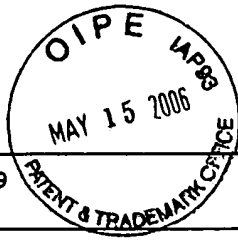
The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: Hallie A. Finucane  
Hallie A. Finucane, Reg. No. 33,172  
900 Second Avenue South, Suite 1400  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

HAF:tlr



FORM PTO-1449	Atty. Docket No.: S16.12-0101	Appl. No.: 09/694,293
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor:	
	Stephen T. Kuehn et al.	
	Filing Date	Group Art:
	October 23, 2000	3731

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,453,086	9-26-95	Weber			
AB	5,732,872	3-31-98	Bolduc et al.			
AC	5,618,307	4-8-97	Donlon et al.			
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL	9920183	10-21-98	WIPO			
AM	4319829	6-16-93	Germany			
AN						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AQ	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.